

09/786,377



55109-25-03

TO2 Rec'd PTO 24 SEP 2003

11785-3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	Group Art Unit: to be assigned
	)	
SZALAY, Aladar A. et al.	)	Examiner: to be assigned
	)	
Serial No.: 09/786,377	)	
	)	
Filed: March 1, 2001	)	Confirmation No. 8166
	)	
For: Method for Studying Protein	)	
Interactions <i>in Vivo</i>	)	Pasadena, California
	)	

**RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE AND AMENDMENT**

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In response to the Notification of Defective Response dated September 16, 2003, please amend the above-identified application as follows:

**In the Application:**

Please amend the application to add the sequence listing attached to this communication. Applicant submits with this response a computer readable form of the Sequence Listing and a hard copy of the Sequence Listing as originally filed in the above-identified patent application.

37 CFR 1.1821(f) - I hereby state that the content of the paper copy as originally submitted and the computer readable copy of the Sequence Listing submitted herewith in accordance with 37 CFR 1.1821(c) and (e), respectively, are the same, and include no new matter as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

No fee is believed due with this communication. However, the Commissioner is hereby authorized to charge payment of any fees believed due with this communication to Deposit Account No. 19-2090.

Respectfully submitted,

SHELDON & MAK PC  
a Professional Corporation

Date: September 24, 2003

By David A. Farah  
David A. Farah, M.D.  
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CERTIFICATE OF EXPRESS MAIL	
"EXPRESS MAIL" mailing label number: EV 134594181US	Date of Deposit: SEPTEMBER 24, 2003
I hereby certify that this document and attachments are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Sequence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
<u>Sept. 24, 2003</u> Date	<u>Marilyn Paik</u> Signature
	Marilyn Paik Typed/Printed Name of Person Mailing Paper or Fee

EV134594181US



## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/786,377	Aladar A Szalay	11785-3

David A Farah  
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INTERNATIONAL APPLICATION NO.	
PCT/US99/20207	
I.A. FILING DATE	PRIORITY DATE
09/02/1999	09/03/1998

CONFIRMATION NO. 8166

371 FORMALITIES LETTER



\*OC000000010879706\*

O/A dated 9/16/03  
1st Harsh Response due 10/16/03  
6th Harsh Response due 3/16/04

Date Mailed: 09/16/2003

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 03/01/2001
- Copy of the International Search Report filed on 03/01/2001
- Copy of IPE Report filed on 03/01/2001
- Information Disclosure Statements filed on 03/01/2001
- Biochemical Sequence Diskette filed on 03/01/2001
- Oath or Declaration filed on 03/01/2001
- Biochemical Sequence Listing filed on 09/23/2002
- Request for Immediate Examination filed on 03/01/2001
- U.S. Basic National Fees filed on 03/01/2001

Applicant's response filed 09/23/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/26/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

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- A replacement CRF is needed for the one submitted on Sept. 23, 2002.
- **APPLICANT MUST PROVIDE:**
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9116

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/786,377	PCT/US99/20207	11785-3

FORM PCT/DO/EO/916 (371 Formalities Notice)

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